



ATTORNEY'S DOCKET NO. N00389/70005 IB/RJP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alex MAGARY et al.  
Serial No: 09/785,630  
Filed: February 16, 2001  
For: METHOD AND APPARATUS FOR PROVIDING FINANCIAL  
TRANSACTION DATA VIA THE INTERNET  
  
Examiner: Not yet assigned  
Art Unit: 2161

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Response to Notice to File Missing Parts
- ☒ Copy B of Notice to File Missing Parts
- ☒ Declaration for Patent Application
- ☒ Original Assignment to NewRiver, Inc. and Recordation Cover Sheet
- ☒ Return receipt postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

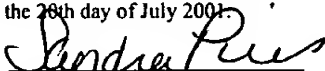
A check in the amount of \$300.00 is enclosed to cover the filing fees. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.


Respectfully submitted,

*Alex MAGARY et al., Applicant*

CERTIFICATE OF MAILING UNDER 37 C.F.R.  
§1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 20th day of July 2001.

  
Sandra Pires

  
Ilan Barzilay, Reg. No. 46,540  
Randy J. Pritzker, Reg. No. 35,986  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Docket No. N00389/70005 IB/RJP  
Date: July 20, 2001



ATTORNEY'S DOCKET NO. N00389/70005 IB/RJP #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alex MAGARY et al.  
Serial No: 09/785,630  
Filed: February 16, 2001  
For: METHOD AND APPARATUS FOR PROVIDING FINANCIAL TRANSACTION DATA VIA THE INTERNET  
  
Examiner: Not yet assigned  
Art Unit: 2161

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

This is in response to the Notice to File Missing Parts of Application mailed from the United States Patent and Trademark Office on March 19, 2001 (copy enclosed).


Attached is an original Declaration for Patent Application. The attached check includes the small entity surcharge fee of \$65.00 and a two month extension of time for \$195.00 in response to Notice to File Missing Parts.

Also attached is an original Assignment to NewRiver, Inc. along with a Recordation Cover Sheet. The attached check includes the \$40.00 assignment recordation fee.

Accordingly, Applicant believes that this document is responsive to the Notice to File Missing Parts. If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 343) to expedite the further prosecution of this patent application.

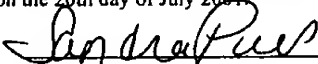
Respectfully submitted,

*Alex MAGARY et al., Applicant*

  
Ilan Barzilay, Reg. No. 46,540  
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**CERTIFICATE OF MAILING UNDER 37 C.F.R.  
§1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 20th day of July 2001.

  
Sandra Fires

Docket No. N00389/70005 IB/RJP  
Date: July 20, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/785,630	02/16/2001	Alex Magary	N0389/7005 INB

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211

CONFIRMATION NO. 3560

FORMALITIES LETTER



\*OC000000005873511\*

Date Mailed: 03/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

*W*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/25/2001 JADD01 00000052 09785630

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65.00 OP